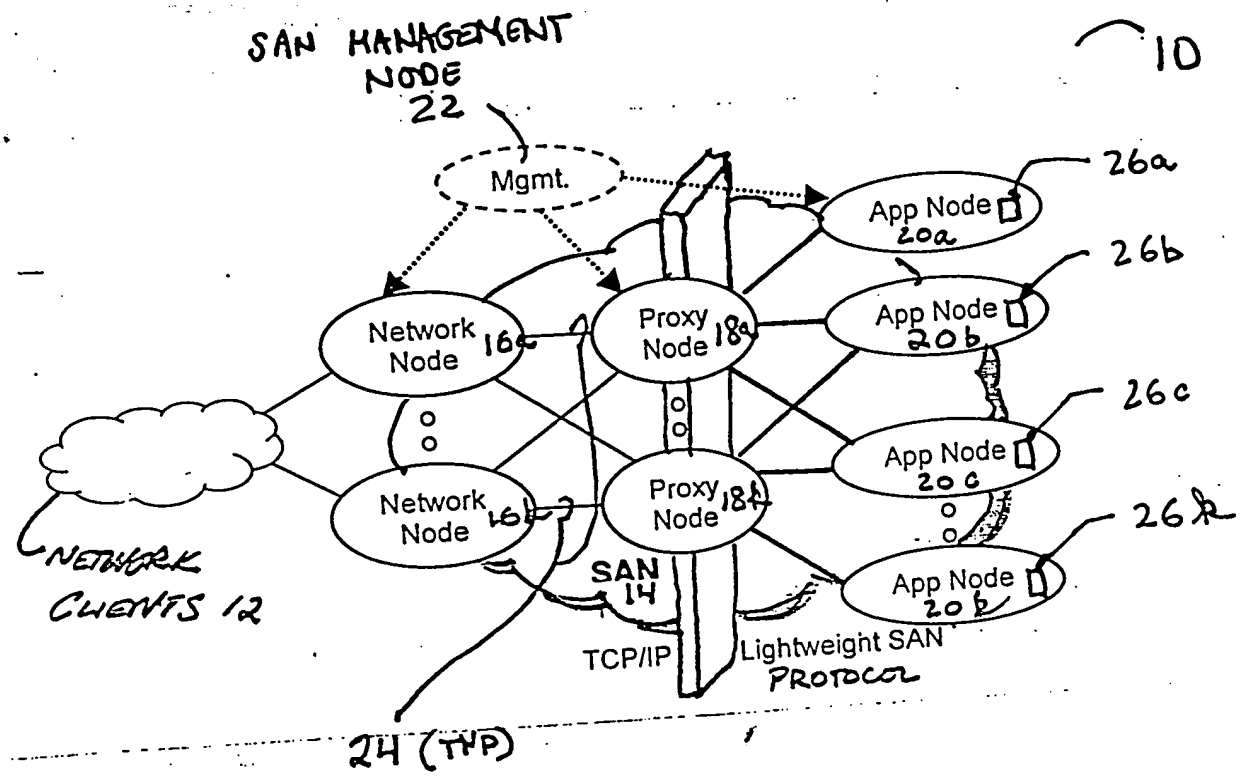

SAN MANAGEMENT NODE 22
10
Mgmt.
App Node 20a
26a
App Node 20b
26b
App Node 20c
26c
App Node 20k
26k
Network Node 16a
Network Node 16b
Proxy Node 18a
Proxy Node 18b
NETWORK CLIENTS 12
SAN 14
TCP/IP
Lightweight SAN PROTOCOL
24 (TYP)

FIG. 1

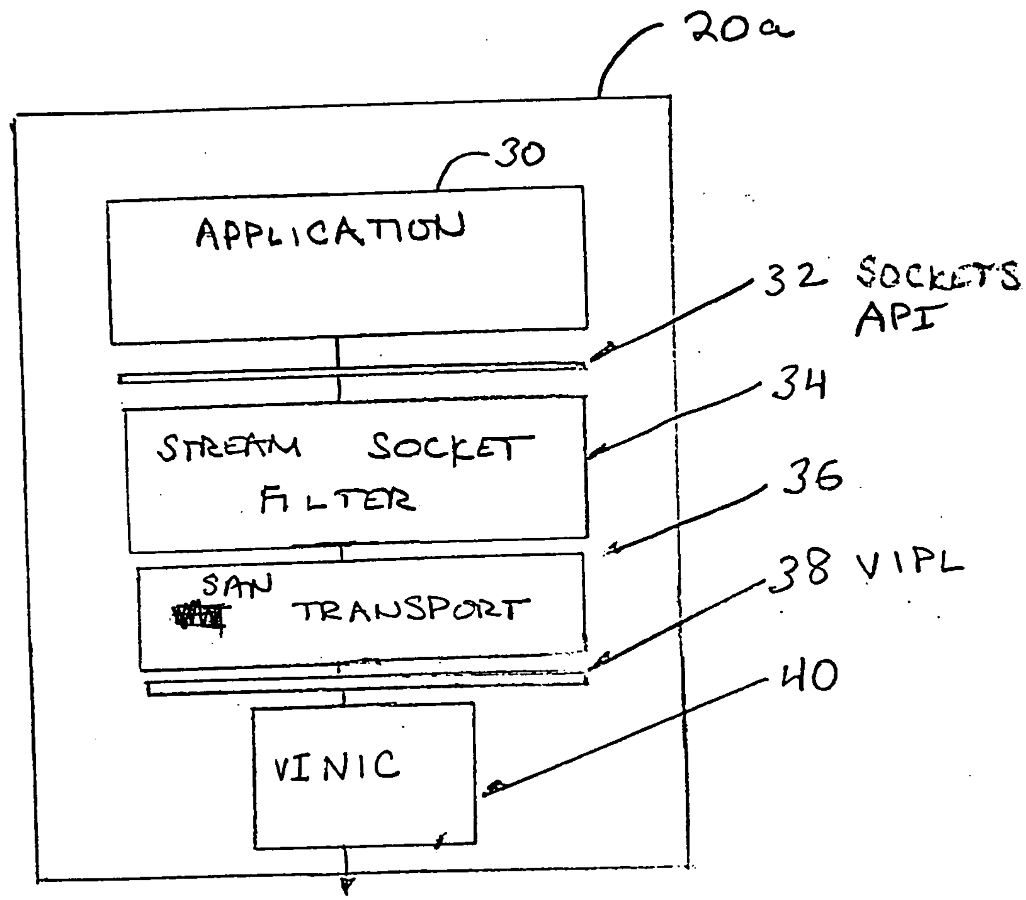
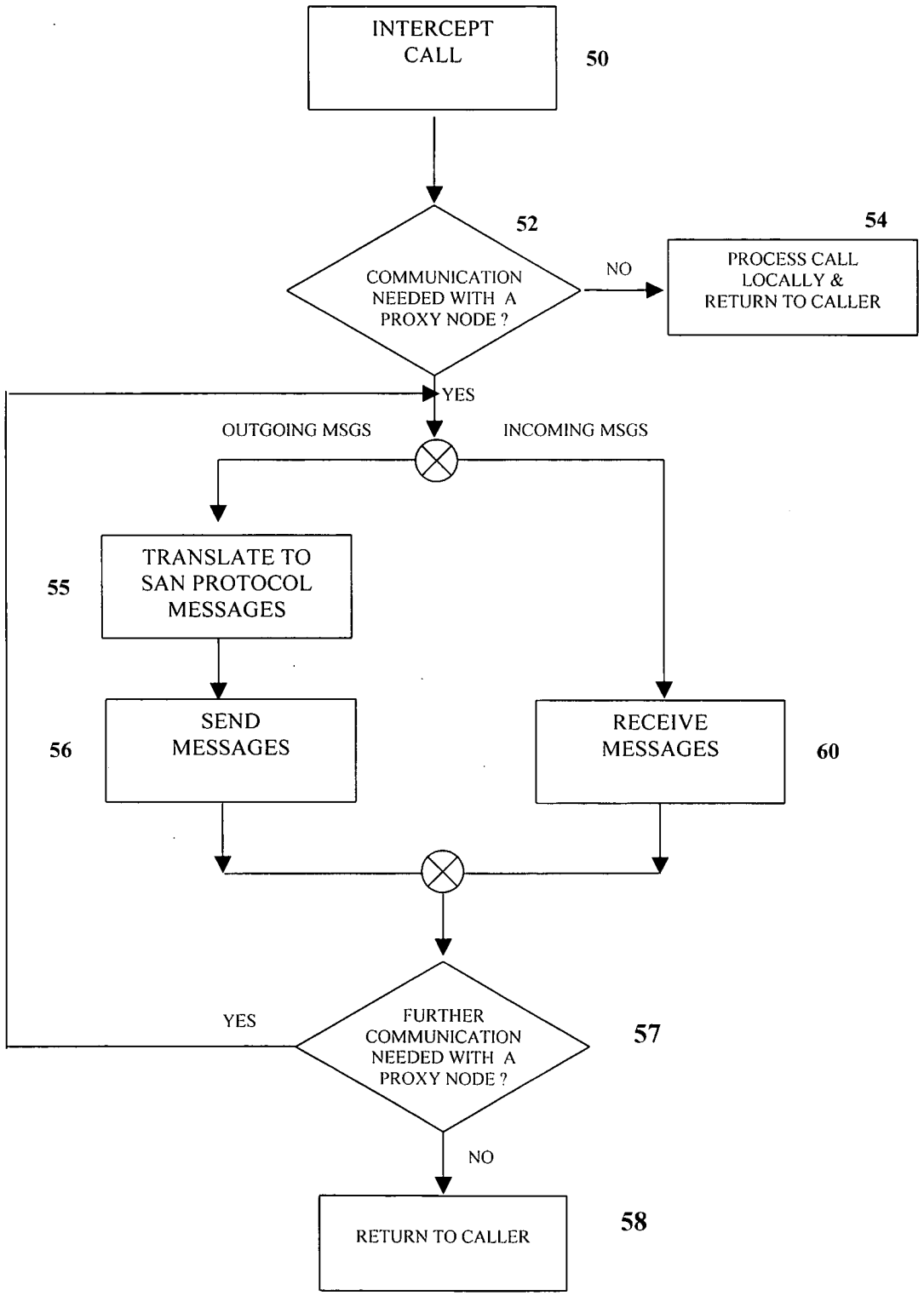

20a
30
APPLICATION
32 SOCKETS API
34
STREAM SOCKET FILTER
36
SAN TRANSPORT
38 VIPL
40
VINIC

FIG. 2

FIG 3


INTERCEPT CALL
50
52
COMMUNICATION NEEDED WITH A PROXY NODE ?
NO
54
PROCESS CALL LOCALLY & RETURN TO CALLER
YES
OUTGOING MSGS
INCOMING MSGS
55
TRANSLATE TO SAN PROTOCOL MESSAGES
56
SEND MESSAGES
RECEIVE MESSAGES
60
YES
FURTHER COMMUNICATION NEEDED WITH A PROXY NODE ?
57
NO
RETURN TO CALLER
58

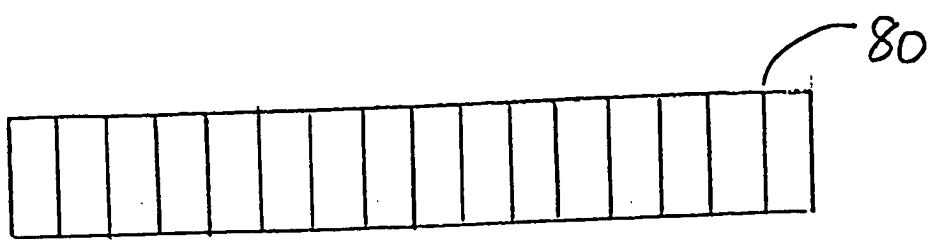


FIG 4A

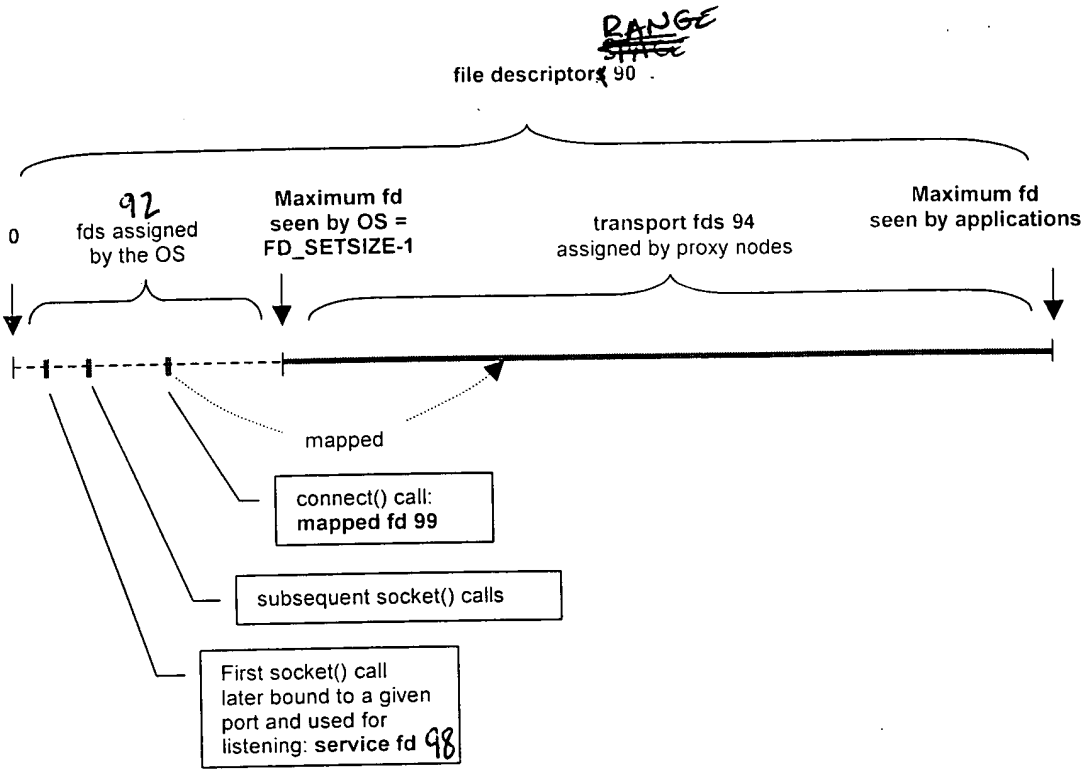

RANGE
file descriptors 90
0
92
fds assigned by the OS
Maximum fd seen by OS = FD_SETSIZE-1
transport fds 94 assigned by proxy nodes
Maximum fd seen by applications
mapped
connect() call: mapped fd 99
subsequent socket() calls
First socket() call later bound to a given port and used for listening: service fd 98

FIGURE 4B

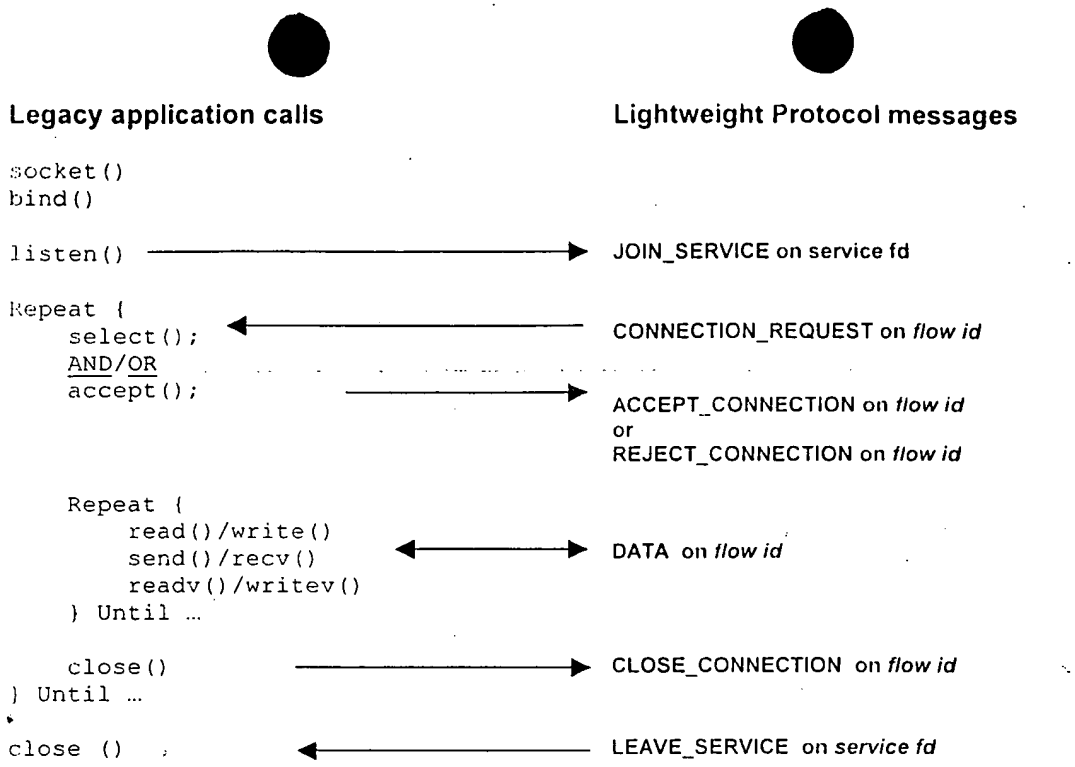


FIGURE 5A

Message Type	Description
JOIN_SERVICE	Sent by an application node when joining a group of service offered by SAN proxies.
LEAVE_SERVICE	Sent by an application node when leaving a group of service offered by SAN proxies.
SHUTDOWN_SERVICE	Sent by a SAN proxy when it shuts down a service.
CONNECTION_REQUEST	Sent by a SAN proxy with flow identifier to an application node indicating that the proxy received a connection request from a client. Also, sent by an application node to actively open a connection.
ACCEPT_CONNECTION	Sent by an application node (SAN proxy) to positively acknowledge to a SAN proxy (application node) regarding the acceptance of a connection request.
REJECT_CONNECTION	Sent by an application node (SAN proxy) to negatively acknowledge to a SAN proxy (application node) regarding a connection request.
CLOSE_CONNECTION	Sent by an application node (SAN proxy) to SAN proxy (application node) for closing a connection.
CREDIT_REQUEST	Used to request credit information.
CREDIT_RESPONSE	Used to send credit information.
DATA	

FIG. 5B

```
socket() → sf_socket(domain, service, protocol) {

   if (this is a TCP socket) {

      if (called for the first time) {

         perform SAN transport initialization;
         Start up SAN Transport;

         fd = socket (domain, service, protocol);
         Note fd of first socket call;
         return(fd);
      }
      else {
         fd = socket (domain, service, protocol);
         return(fd);
      }
   }
   else
      return (socket (domain, service, protocol) );
}
```

FIG. 6A

```
bind() → sf_bind (fd, sockaddr, addrlen) {

   Note IP address & port #;

   if (this is a TCP socket) {

      if (port is specified)
         note fd as service fd for this port;

      return (bind (fd, sockaddr, addrlen));

   }
   else
      return (bind (fd, sockaddr, addrlen));
}
```

FIG. 6B

EXHIBIT 2059

```
connect() → sf_connect (fd, sockaddr, addrlen) {

  Note IP address & port #;

  if (this is a TCP socket) {

     if (this is a non-blocking socket) {

        if (CONNECTION_REQUEST  msg not previously sent for this fd)
           send CONNECTION_REQUEST msg with fd to proxy node;

        if (ACCEPT_CONNECTION or REJECT_CONNECTION msg is pending) {

           if (receive ACCEPT_CONNECTION msg) {
              assign mapped fd by mapping OS-assigned fd to a transport fd;
              return (success);
           }
           else
              return (connection refused error);
        }
        else
           return (connection in progress);
     }

     else {
        send CONNECTION_REQUEST msg with fd to proxy node;

        wait to receive (ACCEPT_CONNECTION or REJECT_CONNECTION msg);

        if (receive ACCEPT_CONNECTION msg) {
           assign mapped fd by mapping OS-assigned fd to a transport fd;
           return (success);
           }
        else
              return (connection refused error);
        }

  }

  else
     return (connect (fd, sockaddr, addrlen));
}
```

FIG. 6C

```
listen() --> sf_listen(fd, backlog) {

   switch (type of fd) {

   case service fd:
         send JOIN_SERVICE msg;
         return (success);

   case mapped fd:

   case transport fd:
         return (exception error);

   default:
         return ( listen(fd, backlog));

   }
}
```

FIG. 6D

```
accept() --> sf_accept (fd, clientaddr, len) {

  switch (type of fd) {

  case service fd:

     if (this is a non-blocking socket) {

        if CONNECTION_REQUEST msg is pending for this service fd {

           read CONNECTION_REQUEST msg with proxy-assigned flow id;

           if (connection can be accepted) {
              send ACCEPT_CONNECTION msg;
              return (flow id);
           }
           else {
              send REJECT_CONNECTION msg;
              return (try again);
           }
        }
        else
           return (try again);
     }
     else {

        while (1) {

           if CONNECTION_REQUEST msg is pending for this service fd {

              read CONNECTION_REQUEST msg with proxy-assigned flow id;

              if (connection can be accepted) {
                 send ACCEPT_CONNECTION msg;
                 return (flow id);
              }
              else {
                 send REJECT_CONNECTION msg;
              }

           }
           else
              wait to receive CONNECTION_REQUEST msg;

        } // while loop
     }

  case transport fd:
       return (exception error);

  default:
       return ( accept (fd, clientaddr, len));

  }
}
```

FIG. 6E

```
select() → sf_select (nfds, readfds, writefds, exceptfds, timeout) {

  note the number of fds to select on;
  set timeslice as a function of timeout and number of fds;

  do forever {

     // PHASE 1: POLL ALL FDs
     for each service fd in readfds {
        if CONNECTION_REQUEST msg is pending for this service fd
           set corresponding service fd as available;
     }
     for each transport fd in readfds {
        if DATA msg is pending for this transport fd
           set corresponding transport fd as available;
     }
     for each mapped fd in readfds {
        perform mapping to transport fd;
        if DATA msg is pending for this transport fd
           set corresponding mapped fd as available;
     }


     for each transport fd in writefds {
        if DATA msg can be sent on this transport fd
           set corresponding transport fd as available;
     }
     for each mapped fd in writefds {
        perform mapping to transport fd;
        if DATA msg can be sent for this transport fd
           set corresponding mapped fd as available;
     }

     for each service fd in exceptfds {
        if exception occurs for this service fd
           set corresponding service fd;
     }
     for each transport fd in exceptfds {
        if exception occurs for this transport fd
           set corresponding transport fd;
     }
     for each mapped fd in exceptfds {
        perform mapping to transport fd;
        if exception occurs for this transport fd
           set corresponding mapped fd;
     }

     for al other fds
        call original system select();

     combine all available descriptors;

     if (one or more descriptors are ready)
        return (number of descriptors available);
     else
        choose one descriptor in readfds to wait on; // heuristic-based choice

     restore original descriptor sets;

     if (time is up AND no fd is available)
        return (timed out);

     // PHASE 2: WAIT if necessary
     wait for arrival of CONNECTION_REQUEST, ACCEPT_CONNECTION,
        REJECT_CONNECTION or DATA msg for the chosen descriptor, up to timeslice;

  }
}
```

FIG. 6F

```
recv() → sf_recv (fd, buf, len, flags) {

  switch (type of fd) {

  case service fd:
       return (exception error);

  case mapped fd:
       perform mapping to transport fd;

  case transport fd:

     if (MSG_WAITALL flag is not set) {
        if at least one DATA msg is pending for this transport fd {
           receive data into buf;
           return (number of bytes read);
        }
        else {
           if (this is a non-blocking socket)
              return (resource not available);
           else {
              wait to receive a DATA msg for this transport fd;
              receive data into buf;
              return (number of bytes read);
           }
     }
     else {
        wait until all len bytes of DATA msgs for this transport fd arrives;
        receive data into buf;
        return (number of bytes read);
     }

  default:
       return ( recv (fd, buf, len));
  }
}
```

FIG. 6G

```
send() → sf_send (fd, buf, len, flags) {

  switch (type of fd) {

     case service fd:
        return (exception error);

     case mapped fd:
        perform mapping to transport fd;

     case transport fd:

        if (this is a non-blocking socket){
           if (no DATA msg can be sent at this time)
              return (try again);
           else
              send DATA msg(s) with data from buf in non-blocking fashion;
        }
        else {
           if( no DATA msg can be sent at this time)
              Wait until atleast one DATA msg can be sent;
           send DATA msg(s) with data from buf;
        }

        return (number of bytes sent);

     default:
        return (send (fd, buf, len));
  }

}
```

FIG 6H

Replaced by 37 CFR 1.52(b)

```
read() → sf_read (fd, buf, len) {

   switch (type of fd) {

   case service fd:
        return (exception error);

   case mapped fd:
        perform mapping to transport fd;

   case transport fd:

      if at least one DATA msg is pending for this transport fd {
         receive data into buf;
         return (number of bytes read);
      }
      else {
         if (this is a non-blocking socket)
            return (resource not available);
         else {
            wait to receive a DATA msg for this transport fd;
            receive data into buf;
            return (number of bytes read);
         }
      }

   default:
        return ( read (fd, buf, len));
   }
}
```

FIG. 6I

1020304050607080901

```
write() → sf_write (fd, buf, len) {

  switch (type of fd) {

  case service fd:
     return (exception error);

  case mapped fd:
        perform mapping to transport fd;

  case transport fd:
        if (this is a non-blocking socket){
           if (no DATA msg can be sent at this time)
              return (try again);
           else
              send DATA msg(s) with data from buf in non-blocking fashion;
        }
        else {
           if( no DATA msg can be sent at this time)
              Wait until atleast one DATA msg can be sent;
           send DATA msg(s) with data from buf;
        }
        return (number of bytes written);

  default:
        return (write (fd, buf, len));
  }
}
```

FIG. 6I

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```
readv() → sf_readv (fd, vector_buf, vector_count) {

  switch (type of fd) {

  case service fd:
       return (exception error);

  case mapped fd:
       perform mapping to transport fd;

  case transport fd:

     if at least one DATA msg is pending for this transport fd {
        scatter data received into vector_buf;
        return (number of bytes read);
     }
     else {
        if (this is a non-blocking socket)
           return (resource not available);
        else {
           wait to receive a DATA msg for this transport fd;
           scatter data received into vector_buf;
           return (number of bytes read);
        }
     }

  default:
       return ( readv (fd, buf, len));
  }
}
```

FIG. 6K

```
writev() → sf_writev (fd, vector_buf, vector_count) {

   switch (type of fd) {

   case service fd:
      return (exception error);

   case mapped fd:
      perform mapping to transport fd;

   case transport fd:
         if (this is a non-blocking socket){
            if (no DATA msg can be sent at this time)
               return (try again);
            else
               send DATA msg(s) with gathered data from vector_buf;
         }
         else {
            if( no DATA msg can be sent at this time)
               Wait until atleast one DATA msg can be sent;
            send DATA msg(s) with gathered data from vector_buf;
         }
         return (number of bytes written);

   default:
         return (writev (fd, buf, len));
   }
}
```

FIG. 6L

```
ioctl() → sf_ioctl (fd, request, arg) {

  switch (type of fd) {

  case service fd:
     return (socket not connected error);

  case mapped fd:
     perform mapping to transport fd;

  case transport fd:

     switch (request) {

        case FIONBIO:
           set non-blocking I/O variable to value in arg;
           return (success);
        case FIOASYNC:
           set async I/O variable to value in arg;
           return (success);
        case FIONREAD:
           peek at DATA msg for this transport fd;
           set number of bytes in arg;
           return (success);
        default:
           return (warning: option not meaningful in SAN Transport);
     }
  default:
        return (ioctl (fd, request, arg));
  }
}
```

FIG. 6M

```
getsockname() → sf_getsockname (fd, localaddr, addrlen) {

  switch (type of fd) {

  case service fd:
     return (socket not connected error);

  case mapped fd:
     perform mapping to transport fd;

  case transport fd:
     return (local protocol address associated with this transport fd);

  default:
        return (getsockname (fd, localaddr, addrlen));
  }
}
```

FIG. 6N

```
getpeername() → sf_getpeername (fd, localaddr, addrlen) {

   switch (type of fd) {

   case service fd:
      return (socket not connected error);

   case mapped fd:
      perform mapping to transport fd;

   case transport fd:
      if (information is available from the proxy node)
         return (foreign protocol address associated with this transport fd);
      else
         return (address not available);

   default:
         return (getpeername (fd, localaddr, addrlen));
   }
}
```

FIG. 60

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```
getsockopt() → sf_getsockopt (fd, level, optname, optval, optlen) {

   if (level == SOL_SOCKET) {

      switch (type of fd) {

      case service fd:
         return (warning: setsockopt() not meaningful for service fd);
      case mapped fd:
         perform mapping to transport fd;

      case transport fd:
         switch (optname) {
            case SO_RCVBUF:
            case SO_SNDBUF:
               if (buffering supported by proxy node) {
                  get corresponding state variable and place value in optval;
                  return (success);
               }
               else
                  return (unable to get buffer sizes);

            case SO_LINGER:
            case SO_RCVLOWAT:
            case SO_SNDLOWAT:
               get corresponding state variable and place value in optval;
               return (success);
            case SO_TYPE:
               return (SOCK_STREAM);
            default:
               return (warning: option not meaningful in SAN Transport);
         }

      default:
         return ( getsockopt(fd, level, optname, optval, optlen) );
      }

   }

   if (level == IPPROTO_TCP) {

      switch (type of fd) {

      case service fd:
         return (warning: setsockopt() not meaningful for service fd);
      case mapped fd:
         perform mapping to transport fd;
      case transport fd:
         switch (optname) {
            case TCP_MAXSEG:
               get segment size of SAN transport and place value in optval;
               return (success);
            case TCP_NODELAY:
               if (no-delay option is known) {
                  get value and place in optval;
                  return (success);
               }
               else
                  return (error);

            default:
               return (warning: option not meaningful in SAN Transport);
         }

      default:
         return ( getsockopt(fd, level, optname, optval, optlen) );
      }
   }
   return (not implemented);
}
```

FIG. 6P

```
setsockopt() → sf_setsockopt (fd, level, optname, optval, optlen) {

  if (level == SOL_SOCKET) {
     switch (type of fd) {
        case service fd:
           return (warning: setsockopt() not meaningful for service fd);
        case mapped fd:
           perform mapping to transport fd;
        case transport fd:
           switch (optname) {
              case SO_RCVBUF:
              case SO_SNDBUF:
                 if (buffering supported by proxy node) {
                    set corresponding state variable to value given by optval;
                    communicate buffer size given by optval to proxy node;
                    if (communication successful)
                       return (success);
                    else
                       return (unable to set buffer size);
                 }
                 else
                    return (unable to set buffer sizes);

              case SO_LINGER:
              case SO_RCVLOWAT:
              case SO_SNDLOWAT:
                 set corresponding state variable to value given by optval;
                 communicate optname and optval to proxy node;
                 if (communication successful)
                    return (success);
                 else
                    return (unable to set option);

              default:
                 return (warning: option not meaningful in SAN Transport);
           }
     default:
        return ( setsockopt(fd, level, optname, optval, optlen) );
     }
  }

  if (level == IPPROTO_TCP) {
     switch (type of fd) {
        case service fd:
           return (warning: setsockopt() not meaningful for service fd);
        case mapped fd:
           perform mapping to transport fd;
        case transport fd:
           switch (optname) {
              case TCP_MAXSEG:
                 set segment size of SAN transport to value given by optval;
                 return (success);
              case TCP_NODELAY:
                 set no-delay variable to value given by optval;
                 communicate optname and optval to the proxy node;
                 if (communication successful)
                    return (success);
                 else
                    return (unable to set no-delay option);
              default:
                 return (warning: option not meaningful in SAN Transport);
           }

        default:
           return ( setsockopt(fd, level, optname, optval, optlen) );
     }
  }
  return (not implemented);
}
```

FIG 6Q

```
close() → sf_close (fd) {

switch (type of fd) {

   case service fd:
      send LEAVE_SERVICE msg on service fd;
      clean up transport resources associated with this service;
      return (close(fd));

   case mapped fd:
      perform mapping to transport fd;
      send CLOSE_CONNECTION msg on transport fd;
      reset fd mapping;
      return (close (fd));

   case transport fd:
      send CLOSE_CONNECTION msg on transport fd;

   default:
         return (close(fd));
}

}
```

FIG. 6R

```
shutdown() → sf_shutdown (fd, howto) {

if (howto == SHUT_RD) {
   if (fd already closed for writes)
      set full_shutdown_flag to TRUE;
   else
      note that fd is closed for further reads;
}

if (howto == SHUT_WR) {
   if (fd already closed for reads)
      set full_shutdown_flag to TRUE;
   else
      note that fd is closed for further writes;
}

if (howto == SHUT_RDWR) {
      set full_shutdown_flag to TRUE;
}

if (full_shutdown_flag == TRUE) {

   switch (type of fd) {
      case service fd:
         send LEAVE_SERVICE msg on service fd;
         clean up transport resources associated with this service;
         break;

      case mapped fd:
         perform mapping to transport fd;
         send CLOSE_CONNECTION msg on transport fd;
         reset fd mapping;
         break;

      case transport fd:
         send CLOSE_CONNECTION msg on transport fd;
         break;

      default:
         return ( shutdown (fd, hotwo) );
   }
}

return ( shutdown (fd, howto));

}
```

FIG. 6$